



Montreal, Canada

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Richard ULLYOTT

Atty. Docket No.

2993-484US CMB/kf

Application No.

10/717,624

Filed:

November 21, 2003

Title:

TURBINE TIP CLEARANCE CONTROL SYSTEM

Art Unit:

3746

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97

Mail Stop Amendment

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Sir:

Submitted herewith on a PTO/SB/08A/B form is a listing of the documents known to applicant in order to comply with applicant's duty of disclosure pursuant to 37 C.F.R. § 1.56.

The submission of any document which is not a statutory bar is not intended as an admission that such document constitutes prior art against the claims of the present application. Applicant does not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a <u>prima facie</u> prior art reference against the claims of the present application.

Statement of Relevancy

The listed document is being submitted either in compliance with 37 C.F.R. §1.97(b), within three (3) months of the filing date of a national application or of the date of entry of the national stage in an international application; or pursuant to 37 C.F.R. §1.97(e)(1), within three (3) months from the date of an office action or of a search report issued in a foreign counterpart application citing each of the documents contained in the present statement; or pursuant to 37 C.F.R. §1.97(e)(2), within three (3) months from the first knowledge of each submitted document by any individual designated in C.F.R. §1.56(c), when each such document was not cited in a communication from a foreign patent office in a counterpart foreign application.

In the case of submission under 37 C.F.R. §1.97(e)(1) and (2), the undersigned Attorney/Agent of Record hereby certifies that the enclosed list of references is hereby submitted within three months (1) from the issuance of the foreign action or search report, or (2) from said first knowledge, respectively.

Respectfully submitted,

March 23, 2005

Date

Agent of the Applicant

C. Marc Benoît, Reg. No. 50,200

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PTO/SB/08A/B 08-03)

Approved for use through 07/31/2006. OMB 0651-0031

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	Substitute f	or form 1449PTO			Complete if Known			
				•	Application Number	10/717,624		
	INF	ORMATIO	N DIS	CLOSURE	Filing Date	November 21, 2003		
	STATEMENT BY APPLICANT				First Named Inventor	Richard ULLYOTT		
					Art Unit	3746		
(use as many sheets as necessary)					Examiner Name	Unknown		
,	Sheet	1	of	1	Attorney Docket Number	2993-484US CMB/kf		

<u> </u>			U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No.1	Document Number Number – Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear			
		US- 5,772,400	06-30-1998	Rolls-Royce PLC				
		US- 3,688,495	09-05-1972	Fehler, A. et al				
		US- 6,209,309	04-03-2001	Hamilton Sunstrand Corp.	-			
		US- 3,999,388	12-28-1976	Forenade Fabriksverken				
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		US- 6,487,491	11-26-2002	United Technologies Corp.				
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FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Foreign Patent Document Country Code3 - Number4 - Kind Code5 (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant Of Cited Document	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear	T ⁰
		WO 04/097181	11-11-2004	Pratt & Whitney Canada Corp		
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Signature Considered	Examiner		Date	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is

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